



a segregated portfolio of Physical Hard Assets Fund SPC

List of Supporting Documents to Accompany Subscriptions

Individuals

- i) A legible copy of an official valid ID document that contains a clear photograph and signature (example: Passport, Driver's License or National Identity Card) is required;
- ii) Registered address verification: A notarized/certified copy of a recent bank statement or utility bill in order to verify the given registered address (if address is not already on the photo ID);
- iii) Reference letter provided by a major bank (see point ii);
- iv) *Appropriate and current IRS tax form where applicable.* <http://www.irs.gov/Forms-&-Pubs>

Non Public Corporations

- i) Notarized or certified copy of Certificate of Incorporation or Formation;
- ii) Original or notarized certificate of Good Standing (or an original or notarized excerpt of the Chamber of Commerce which is not older than 3 months);
- iii) Notarized/ certified copy of the Articles of Association or equivalent in jurisdiction of the Fund;
- iv) Original recent authorized signature list;
- v) Copy of latest audited financials;
- vi) Full list of all directors (copy register of directors). Amendments must be reported immediately;
- vii) Notarized copies of photo ID's of the corporation's directors;
- viii) Notarized/certified copy of the Shareholder's register. Subscriptions from companies with bearer shares outstanding are not accepted. If any changes to the Shareholder register occur, a new notarized list must be sent to us immediately;
- ix) *Appropriate and current IRS tax form where applicable.* <http://www.irs.gov/Forms-&-Pubs>

Public Corporations

If the corporation is listed on a Stock Exchange in a FATF member country

- i) Recent authorized signature list;
- ii) Name Stock Exchange and regulator;
- iii) Proof of listing e.g. print from Reuters or Bloomberg;
- iii) Copy of latest audited financials or Copy of Stock Exchange filing;
- iv) Copy of prospectus;
- v) *Appropriate and current IRS tax form where applicable.* <http://www.irs.gov/Forms-&-Pubs>

Partnerships

- i) Notarized or certified copy of Partnership agreement;
- ii) Notarized or certified copy of Certificate of registration, if applicable;
- iii) Original Certificate of Good Standing or original excerpt of the Chamber of Commerce, to prove current existence, not older than 3 months;
- iv) Copy of annual report, (recommended but not required);
- v) List of all partners, changes must be reported immediately;
- vi) Documentation of identity of all partners (whether corporate or individual) who is required;
- viii) Original consent from all partners of the partnership authorizing the subscription;
- ix) *Appropriate and current IRS tax form where applicable.* <http://www.irs.gov/Forms-&-Pubs>



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Trust Companies

- i) Notarized or certified copy of the Trust;
- iii) Documentation of Identity of Trustees, Governors and Board Members;
- iii) Documentation of identity of the beneficiary(s) and grantors of the trust;
- iv) *Appropriate and current IRS tax form where applicable.* <http://www.irs.gov/Forms-&-Pubs>

Foundations

- i) Notarized/ certified copy of the Foundation Deed;
- ii) Documentation of identity of all directors is required;
- iii) Authorized signature list;
- iv) *Appropriate and current IRS tax form where applicable.* <http://www.irs.gov/Forms-&-Pubs>